# COLLISIONAL-RADIATIVE MODELLING OF NEUTRAL BEAM ATTENUATION AND EMISSION

A THESIS SUBMITTED TO THE DEPARTMENT OF PHYSICS AND APPLIED PHYSICS OF THE UNIVERSITY OF STRATHCLYDE FOR THE DEGREE OF DOCTOR OF PHILOSOPHY

> by Harvey Anderson February 1999

## © Copyright 1999

The copyright of this thesis belongs to the author under the terms of the United Kingdom Copyright Acts as qualified by University of Strathclyde Regulation 3.49. Due acknowledgement must always be made of the use of any material contained in, or derived from, this thesis.

### Abstract

In addition to heating tokamak plasmas, neutral beam injection can also be exploited as a quantitative diagnostic to investigate the concentration of impurities in the plasmas via charge exchange spectroscopy. For this use, a detailed knowledge of the beam attenuation or alternatively the neutral beam density in the plasma is required. There are two methods which may be employed to determine the neutral beam density. The first approach involves modelling the rate at which the beam neutrals are ionised as they traverse the plasma. The second and in principle more accurate method, involves the direct measurement of the intensity of the spectral lines emitted from the excited beam neutrals. Then with the use of atomic modelling the neutral beam density can be recovered. This is the basis of beam emission spectroscopy.

The work in this thesis, which addresses the issue of modelling and measuring the neutral beam density, can be separated into two distinct parts. The first concerns the deduction of the neutral deuterium beam density at JET Joint Undertaking using both the theoretical and experimental approach. The second part of this thesis involves developing a Bundled-nISL collisional-radiative model to predict the attenuation and emission associated with a fast neutral helium beam. The model is then used to explore the attenuation and the behaviour of the excited state population structure of the beam atoms as a function of typical plasma parameters.

Experimental aspects associated with beam emission spectroscopy at JET are summarised and a detailed description of the atomic modelling required to support the diagnostic exploitation of fast neutral deuterium and helium beams is given. The modelling codes used and developed during the course of this work form part of the Atomic Data and Analysis Structure, ADAS.

#### Acknowledgements

During the course of this work I have sought the help and advice from many and it is at this point I would like to take the opportunity to thank them.

Firstly, I would like to thank my supervisor Prof. Hugh Summers. I am grateful for all the advice and support I have received over the last three years. I would also like to thank Dr. David Brooks for his help and advice concerning IDL, physics and life in general. Also thanks to Stuart Loch and Gordon Fischbacher for making our office at Strathclyde a venue for interesting discussions.

The experimental aspects of this thesis were conducted at JET Joint Undertaking. I would like to thank Dr. Paul Thomas and members of experimental division II for their hospitality and assistance. In particular, I would like to thank my JET supervisor Dr Manfred von Hellermann. I would also like to thank Drs Andy Meigs, Ralf Konig, Zlaus-Dieter Zastrow, Martin O'Mullane and Lorne Horton. A special thanks also goes to Kate Bell, Clive Flewin and Hui Chen.

On a personal note, I am deeply grateful to Margaret Harrison for her continual source of encouragement. This thesis would definitely not have been competed without her.

# Contents

### 1. Introduction

1.1.	Active and passive spectroscopy	1
	1.1.1.Passive spectroscopy	2
	1.1.2.Active spectroscopy	4
1.2.	Aim of this work	9
1.3.	Atomic Data and Analysis Structure	10
1.4.	Format of thesis	12
2. Atom	nic modelling relevant to neutral beam driven diagnostics	
2.1.	Introduction	13
2.2.	Physical conditions and separation of time scale	13
	2.2.1.Thermodynamic equilibrium	13
	2.2.2.Local thermodynamic equilibrium	15
	2.2.3.Statistical balance equation	17
	2.2.4.Ranking of atomic lifetimes	18
2.3.	Atomic processes associated with a deuterium beam	20
2.4.	Atomic processes associated with a helium beam	24
2.5.	Approaches to modelling	31
	2.5.1.Coronal equilibrium model	33
	2.5.2.Collisional-radiative model	34
2.6.	Previous theoretical studies	39
	2.6.1.Modelling a neutral deuterium beam	39
	2.6.2.Modelling a neutral helium beam	41
3.Collis	ional-radiative models for neutral beam attenuation and emission	
3.1.	Introduction	43
3.2.	The bundled-nS model for a deuterium beam	43
	3.2.1.Radiative processes	46
	3.2.2.Collisional processes	47
	3.2.3.Beam-thermal rate coefficients	50
	3.2.4.Fundamental atomic data	51
	3.2.5.Method of solution	52
3.3.	The bundled-nISL model for a helium beam	55
	3.3.1.Radiative processes	57
	3.3.2.Collisional processes	58
	3.3.3.Fundamental atomic data	59
	3.3.4.Method of solution	59
3.4.	Computational implementation and validation	61
	3.4.1.Implementation of the models within ADAS	61

	3.4.2. Validation of ADAS310, the bundled-nS model	70
	3.4.3. Validation of ADAS311, the bundled-nlSL model	72
3.5.	Summary	73
4.Paran	neter dependencies and application of the derived atomic data relev	ant to
neutra	al deuterium beam attenuation and emission	
4.1.	Introduction	74
4.2.	Effective collisional-radiative ionisation coefficients	74
	4.2.1.Density dependence	75
	4.2.2.Neutral beam energy dependence	77
	4.2.3.Temperature dependence	79
	4.2.4.Nuclear charge dependence	81
	4.2.5. The importance of impurities	82
	4.2.6.Influence of the fundamental low level data	86
	4.2.7.Conclusion	
4.3.	Effective Balmer-alpha emission coefficients	
	4.3.1.Density dependence	
	4.3.2.Neutral beam energy dependence	
	4.3.3.Temperature dependence	
	4.3.4.Nuclear charge dependence	100
	4.3.5. The importance of impurities	101
	4.3.6.Influence of the fundamental low level data	105
	4.3.7.Conclusion	109
4.4.	Application to experimental programs	111
	4.4.1.Introduction	111
	4.4.2. Production and archiving the derived data	112
	4.4.3.Linear interpolation scheme	113
	4.4.4.Linear combination scheme	
	4.4.5. Accuracy of the linear interpolation and combination scheme	115
	4.4.5.1.Effective beam stopping coefficients	115
	4.4.5.2.Effective beam emission coefficients	119
	4.4.6.Conclusion	122
5.Beam	emission spectroscopy at JET	
5.1.	Historical overview	123
5.2.	The JET beam emission spectroscopy diagnostic	124
	5.2.1.Diagnostic apparatus	124
	5.2.2.Observed beam emission spectrum	126
	5.2.3.Experimental analysis	128
	5.2.3.1. Method and objectives	128
	5.2.3.2. Motional Stark effect	129
	5.2.3.3.Spectral analysis	131
5.3.	The charge exchange analysis package	133
	5.3.1. The role of the charge exchange analysis package	133
	5.3.2. Iterative path to absolute impurity concentrations	
	5.3.3.Evaluation of the neutral beam density	135
	5.3.3.1.Numerical attenuation calculation	
	5.3.3.2.Spectroscopic measurement	137
5.4.	Examination of the analysis procedure	138
	• 1	

	5.4.1.Overview	
	5.4.2. The spectral analysis	138
	5.4.3. The CHEAP analysis	
	5.4.4. Review of the fundamental and derived atomic data	
	5.4.5.Conclusion	
5.5.	Results	
	5.5.1.Single beam bank pulses	
	5.5.2 Double beam bank pulses	
5.6.	Conclusion	
6.Pred	ictive studies of helium beam attenuation and emission	
6.1.	Introduction	
6.2.	Review of the collisional-radiative coupling coefficients	153
6.3.	Collisional-radiative cross coupling coefficients	155
	6.3.1.Non spin changing transitions	157
	6.3.2.Spin changing transitions	
	6.3.3.Collisional-radiative ionisation coefficients	
6.4.	Quasi-static excited population structure	
	6.4.1.Neutral beam energy dependence	
	6.4.2.Density dependence	
	6.4.3.Temperature dependence	
	6.4.4.Comparison of the role of the metastable levels	
	6.4.5.The influence of impurities	
6.5.	Evolution of the metastable populations under JET conditions	
	6.5.1.Method of calculation	
	6.5.2. Metastable population : Quasi-static Vs Spatial solution	
	6.5.3. Attenuation of a neutral helium beam	
	6.5.4.Additional Physics of helium beam attenuation	
	6.5.4.1.Influence of the local temperature	
	6.5.4.2.Influence of the local electron density	
6.6.	Conclusion	
7. The	esis Summary and discussion	
Refere	nces	
Appendix A		